I. COURSE OBJECTIVE AND DESCRIPTION
   To develop an ability to recognize financial problems, analyze financial data, formulate alternative solutions, and render financial decisions. Case materials are used in studying financial problems. Management of investment in current and fixed assets, planning of profits, forecasting of cash requirements, capital budgeting, planning of methods of financing and capital structure, dividend policy, valuation of assets, and mergers.

II. COURSE PREREQUISITES
    Finance 3313.

III. CLASS CONDUCT
    Lectures are designed to clarify and supplement text material. Unless otherwise instructed, you will be responsible for all reading assignments even if they are not covered in class as well as all supplementary material presented in lecture. Class attendance will help you get a better grade. It is important that you minimize your absences. Excessive absences can result in reduction of a letter grade, or being dropped from the class with a grade of F.

Walk Rule: If the instructor is late by more than 15 minutes, please leave the class quietly.

ADA: If you have a disability that requires special accommodation, please see me in the privacy of my office. Bomb Threat: College of Business Administration Policy on bomb threats will be followed.

For further details and additional information, please refer to the enclosed syllabus addendum.

IV. COURSE TEXTS

    Required tool: Financial Calculator: *Texas Instruments™ BA II Plus, BA II Plus Professional* or equivalent. I will demonstrate the use of *Texas Instruments™ BA II Plus*. This is my personal preference. Feel free to use a different model if you are confident with it. Please bring your calculator to every class and exam.

    Optional: *The Wall Street Journal*
V. GRADING

All exams will be multiple choice and machine graded. Students will be required to present a picture ID in order to receive a question booklet. Students must bring their own Scan-Tron form 882-ES (available at the bookstore) along with the required #2 pencils and erasures. Cheating in any form, removal of question booklets from the classroom, or other misconduct will result in an automatic F for the course and/or appropriate disciplinary action. Grades will be based on your performance on three unit exams, a comprehensive final exam, and assigned cases as given below. **Please note that the final exam has to be taken during its assigned time slot by all students and cannot be dropped under any circumstances.** A student should bring a stamped self-addressed envelope and turn it in to the proctor before taking the final exam, in case the student desires to know the final class grade before they are posted on MyMav.

<table>
<thead>
<tr>
<th>Assigned Cases</th>
<th>10%</th>
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<tbody>
<tr>
<td>Exam 1</td>
<td>20%</td>
</tr>
<tr>
<td>Exam 2</td>
<td>20%</td>
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<tr>
<td>Exam 3</td>
<td>20%</td>
</tr>
<tr>
<td>Final Exam</td>
<td>30%</td>
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</tbody>
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Total 100%

The class grades will be awarded as per the following points:

- **A:** 90 and above
- **B:** 80 - 89
- **C:** 70 - 79
- **D:** 60 - 69
- **F:** below 60.

**There is no provision for makeup or extra points.** In the event of an absence from an exam due to extreme circumstances, greater weight will be placed on the final exam, with proper documentation supporting the absence from UTA official or a medical doctor. Otherwise the student will receive a ‘F’ on the missed exam. The distribution of grades for the term will normally adhere to Departmental guidelines. These guidelines are based on College averages for similar courses.

VI. KEY DATES

- **First day of class:**        July 14, 2015
- **Census Date:**              July 20, 2015
- **Last day to drop (undergraduate):** Aug 4, 2015; request advisor prior to 4:00 pm
- **Last date of Class:**       Aug 13, 2015
- **Final Exams:**              Aug 17, 2015
VII. COURSE OUTLINE

Date       Topic                                                                                                             
July 14    Handout Syllabuses, Course Introduction, Financial Manager (Chapter 1)                                             
July 15    Financial Statement Analysis, Financial Models (Chapters 2 and 3)                                                    
July 16    Discounted Cash Flow Valuation (Chapters 4)                                                                             
July 20    Review for exam and case presentations                                                                               
July 21    First exam on Tuesday, July 21, 2015, Chapters 1, 2, 3, and 4                                                        
           Please Bring Appropriate ScanTron Sheet, Calculator, pencils and erasers                                                  
July 22    Review of First Exam; Bonds (Chapter 5)                                                                               
July 23    Stock Valuations (Chapter 6)                                                                                        
July 27    Review for exam and case presentations                                                                               
July 28    Second exam on Tuesday, July 28, 2015, Chapters 5 and 6                                                            
           Please Bring Appropriate ScanTron Sheet, Calculator, pencils and erasers                                                  
July 29    Review of Second Exam; NPV and Other Investment Rules (Chapter 7)                                                      
July 30    Risk and Return: Lessons from Market History (Chapter 10)                                                            
Aug  3     Review for exam and case presentations                                                                               
Aug  4     Third exam on Tuesday, Aug 4, 2015, Chapters 7 and 10                                                                
           Please Bring Appropriate ScanTron Sheet, Calculator, pencils and erasers                                                  
Aug  5     Review of Third Exam; Return and Risk: The CAPM (Chapter 11)                                                          
Aug  6     Cost of Capital (Chapter 12)                                                                                        
Aug 10    Options (Chapter 17)                                                                                                   
Aug 11    Case Presentations                                                                                                    
Aug 12    Case Presentations                                                                                                    
Aug 13    Review of Entire Course Material before finals and case presentations                                                 
Aug 17    Cumulative Final Exam on Monday, August 17, 2015                                                                     
           Please bring appropriate ScanTron Sheet, Calculator, pencils and erasers                                                  
           Please bring a self-stamped envelope, if you would like to receive your grades before they are posted on MyMav.        

The dates and chapters are tentative and may be changed with verbal notice during assigned class time, as needed.

VIII. STUDENT REQUIREMENTS FOR CASE PRESENTATIONS

For each group, one organized copy of all-important material used in the case presentation shall be provided to the instructor prior to the presentation. Each team member shall submit a confidential evaluation of the other partner’s contribution.

IX. ATTENDANCE (Additional details on attendance policy follows Course Outline):

Lectures are designed to clarify and supplement text material. Unless otherwise instructed, you will be held responsible for all reading assignments (even if they are not covered in lecture) plus all supplementary material presented in lecture.
University Policies

Academic Integrity: All students enrolled in this course are expected to adhere to the UT Arlington Honor Code:

I pledge, on my honor, to uphold UT Arlington's tradition of academic integrity, a tradition that values hard work and honest effort in the pursuit of academic excellence.

I promise that I will submit only work that I personally create or contribute to group collaborations, and I will appropriately reference any work from other sources. I will follow the highest standards of integrity and uphold the spirit of the Honor Code.

Instructors may employ the Honor Code as they see fit in their courses, including (but not limited to) having students acknowledge the honor code as part of an examination or requiring students to incorporate the honor code into any work submitted. Per UT System Regents’ Rule 50101, §2.2, suspected violations of university's standards for academic integrity (including the Honor Code) will be referred to the Office of Student Conduct. Violators will be disciplined in accordance with University policy, which may result in the student's suspension or expulsion from the University.

Drop Policy: Students may drop or swap (adding and dropping a class concurrently) classes through self-service in MyMav from the beginning of the registration period through the late registration period. After the late registration period, students must see their academic advisor to drop a class or withdraw. Undeclared students must see an advisor in the University Advising Center. Drops can continue through a point two-thirds of the way through the term or session. It is the student's responsibility to officially withdraw if they do not plan to attend after registering. **Students will not be automatically dropped for non-attendance.** Repayment of certain types of financial aid administered through the University may be required as the result of dropping classes or withdrawing. For more information, contact the Office of Financial Aid and Scholarships ([link](http://wweb.uta.edu/ses/fao)).

Americans with Disabilities Act: The University of Texas at Arlington is on record as being committed to both the spirit and letter of all federal equal opportunity legislation, including the Americans with Disabilities Act (ADA). All instructors at UT Arlington are required by law to provide "reasonable accommodations" to students with disabilities, so as not to discriminate on the basis of that disability. Any student requiring an accommodation for this course must provide the instructor with official documentation in the form of a letter certified by the staff in the Office for Students with Disabilities, University Hall 102. Only those students who have officially documented a need for an accommodation will have their request honored. Information regarding diagnostic criteria and policies for obtaining disability-based academic accommodations can be found at [www.uta.edu/disability](http://www.uta.edu/disability) or by calling the Office for Students with Disabilities at (817) 272-3364.

Bomb Threats: Effective April 8, 1997, the College of Business Administration has adopted a policy to deal with the classroom disruption caused by bomb threats in the building. [A] Section 22.07 of the Texas Criminal Law Statutes governs terrorist threats and classifies bomb threats as Class A misdemeanors. Section 12.21 of the Texas Criminal Law Statutes states that a Class A misdemeanor is punishable by (1) a fine not to exceed $4,000, (2) a jail term of not more than one year, or (3) both such a fine and confinement. [B] If anyone is tempted to call in a bomb threat, be aware that UTA will soon have technology to trace phone calls. [C] Every effort will be made to avoid cancellation of presentations and exams caused by bomb threats to the Business Building. Unannounced alternate sites will be available for these classes. If a student who has a class with a scheduled test or presentation arrives and the building has been closed due to a bomb threat, the student should immediately check for the alternate class site notice posted on/near the main doors on the south side of the Business Building. If the bomb threat is received while class is in session, Your instructor will ask you to leave the building and reconvene at another location. [D] Students who provide information leading to the successful
prosecution of anyone making a bomb threat will receive one semester’s free parking in the Maverick Garage across from the Business Building. UTA’s Crime Stoppers will provide a reward to anyone providing information leading to an arrest. To make anonymous report, call 817-272-5245.

Title IX: The University of Texas at Arlington is committed to upholding U.S. Federal Law “Title IX” such that no member of the UT Arlington community shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any education program or activity. For more information, visit www.uta.edu/titleIX.

Attendance: At The University of Texas at Arlington, taking attendance is not required. Rather, each faculty member is free to develop his or her own methods of evaluating students’ academic performance, which includes establishing course-specific policies on attendance. As the instructor of this section I reserve the right to take attendance every class period including mid-term and final exam dates, or at random, depending on time availability as well as the educational needs of the students enrolled in this section.

Student Support Services: UT Arlington provides a variety of resources and programs designed to help students develop academic skills, deal with personal situations, and better understand concepts and information related to their courses. Resources include tutoring, major-based learning centers, developmental education, advising and mentoring, personal counseling, and federally funded programs. For individualized referrals, students may visit the reception desk at University College (Ransom Hall), call the Maverick Resource Hotline at 817-272-6107, send a message to resources@uta.edu, or view the information at www.uta.edu/resources.

Electronic Communication: UT Arlington has adopted MavMail as its official means to communicate with students about important deadlines and events, as well as to transact university-related business regarding financial aid, tuition, grades, graduation, etc. All students are assigned a MavMail account and are responsible for checking the inbox regularly. There is no additional charge to students for using this account, which remains active even after graduation. Information about activating and using MavMail is available at http://www.uta.edu/oit/cs/email/mavmail.php.

Student Feedback Survey: At the end of each term, students enrolled in classes categorized as lecture, seminar, or laboratory shall be directed to complete a Student Feedback Survey (SFS). Instructions on how to access the SFS for this course will be sent directly to each student through MavMail approximately 10 days before the end of the term. Each student’s feedback enters the SFS database anonymously and is aggregated with that of other students enrolled in the course. UT Arlington’s effort to solicit, gather, tabulate, and publish student feedback is required by state law; students are strongly urged to participate. For more information, visit http://www.uta.edu/sfs.

Final Review Week: A period of five class days prior to the first day of final examinations in the long sessions shall be designated as Final Review Week. The purpose of this week is to allow students sufficient time to prepare for final examinations. During this week, there shall be no scheduled activities such as required field trips or performances; and no instructor shall assign any themes, research problems or exercises of similar scope that have a completion date during or following this week unless specified in the class syllabus. During Final Review Week, an instructor shall not give any examinations constituting 10% or more of the final grade, except makeup tests and laboratory examinations. In addition, no instructor shall give any portion of the final examination during Final Review Week. During this week, classes are held as scheduled. In addition, instructors are not required to limit content to topics that have been previously covered; they may introduce new concepts as appropriate.

Absences Based on Religious Beliefs: A student who misses class work due to the observance of a religious holiday will be given the opportunity to complete the missed work within 15 days following the due date of the work. To be eligible for make-up, the student must notify the instructor prior to the holiday.
Emergency Exit Procedures: Should we experience an emergency event that requires us to vacate the building, students should exit the room and move toward the nearest exit, which is located to the left, as you step out from the classroom into the hallway. When exiting the building during an emergency, one should never take an elevator but should use the stairwells. Faculty members and instructional staff will assist students in selecting the safest route for evacuation and will make arrangements to assist handicapped individuals.