I. GUIDELINES
The objective of this course is to develop an ability to recognize financial problems, analyze financial data, formulate alternative solutions, and render financial decisions. Key subject areas will be examined including: the management of investment in current and fixed assets, planning of profits, forecasting of cash requirements, capital budgeting, planning of methods of financing and capital structure, dividend policy, valuation of assets, and mergers and acquisitions.

Expected Student Learning Outcomes: (1) to acquire and demonstrate the ability to gather, analyze and interpret financial data essential to the operation of a business organization; (2) to recognize and describe various financial problems in the operation of a business organization; and (3) to comprehend an interdisciplinary view of finance and its role in society.

For further details and additional information, please refer to the enclosed syllabus addendum.

II. COURSE TEXTS (Required ONE Text Book below and Financial Calculator as explained)


OR


Financial calculator; recommend Texas Instruments™ BA II Plus, BA II Plus Professional or equivalent (I am conversant with BA II Plus calculator). You may choose to use any comparable financial calculator as long as you know the operations. Please bring your calculator to every class and exam.

Optional: The Wall Street Journal
III. Prerequisites for FINA 5311: ACCT 5301

IV. GRADING
There will be four exams all having true false multiple choice questions. Students must bring their own Scantron Form No. 882-E (available at the bookstore) along with required #2 pencils, financial calculator and eraser for each test. Grades will be based on your performance on three midterm exams as per your Scantron and an in-class final exam as follows:

<table>
<thead>
<tr>
<th>Exam</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>First Mid-Term Exam</td>
<td>20%</td>
</tr>
<tr>
<td>Second Mid-Term Exam</td>
<td>20%</td>
</tr>
<tr>
<td>Third Mid-Term Exam</td>
<td>20%</td>
</tr>
<tr>
<td>Comprehensive Final Examination</td>
<td>40%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>100%</strong></td>
</tr>
</tbody>
</table>

Please note that the final exam will be comprehensive in nature. There is no provision for makeup or extra points. A student should bring a stamped self-addressed envelope and turn it in to the proctor before taking the final exam, in case the student desires to know the final class grade before they are posted on MyMav.

Students must show their UTA Picture ID to receive a test. Calculators are allowed, but smart-phones, cell-phones, tablets, computers, pda’s, and devices that are programmable or allow text entry are prohibited.

V. ATTENDANCE (Additional details on attendance policy follows Course Outline):
Lectures are designed to clarify and supplement text material. Unless otherwise instructed, you will be held responsible for all reading assignments (even if they are not covered in lecture) plus all supplementary material presented in lecture.

VI. KEY DATES

<table>
<thead>
<tr>
<th>Event</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>First day of class FINA 5311-001:</td>
<td>October 20, 2014</td>
</tr>
<tr>
<td>Census Date (Fall 2014):</td>
<td>September 08, 2014</td>
</tr>
<tr>
<td>Last day to drop (undergraduate)</td>
<td>October 29, 2014</td>
</tr>
<tr>
<td>Graduate students should consult their advisors</td>
<td></td>
</tr>
<tr>
<td>Last date of Classes</td>
<td>December 3, 2014</td>
</tr>
<tr>
<td>Final Exams</td>
<td>December 8, 2014</td>
</tr>
</tbody>
</table>
VII. COURSE OUTLINE

Class on       Material
Oct. 20     Financial Manager, Financial Statement Analysis (Chapters 1 and 2)
Oct. 22     Financial Statement Analysis, Time Value of Money (Chapters 2 and 4)
            Students new to finance may study Chapter 3 on their own, which is introduction to Time Value of Money Concepts
Oct. 27     Time Value of Money (Chapters 4 and 5)
**Oct. 29** First Mid Term Exam (Last hour of class) (Chapters 1, 2, 4 and 5)
            Please Bring Appropriate ScanTron Sheet, Calculator, pencils and erasers

Nov 3        Review of First midterm Exam; Bonds and Stocks (Chapters 6 and 7)
Nov 5        Bonds and Stocks (Chapters 6 and 7)
Nov 10       Bonds and Stocks (Chapters 6 and 7)
**Nov 12** Second Mid Term Exam (Last hour of class) (Chapters 6 and 7)
            Please Bring Appropriate ScanTron Sheet, Calculator, pencils and erasers

Nov 17       Review of Second midterm Exam; Risk and Return (Chapters 11 and 12)
Nov 19       Risk and Return (Chapters 11 and 12)
**Nov 24** Third Mid Term Exam (Last hour of class) (Chapters 11 and 12)
            Please Bring Appropriate ScanTron Sheet, Calculator, pencils and erasers

Nov 26       Review of Third midterm Exam; Investment Decision Rules, Options (Chapters 8 and 21)
Dec 1        Options; Cost of Capital (Chapter 21 and 13)
Dec 3        Review of Entire Course Material
**Dec 8**   Comprehensive Final Examination
            Please bring appropriate ScanTron Sheet, Calculator, pencils and erasers.
            Please bring a stamped self-addressed envelope, if you would like to receive your grades before they are posted on MyMav

As the instructor for this course, I reserve the right to adjust this schedule in any way that serves the educational needs of the students enrolled in this course. – Salil K Sarkar.
Academic Integrity: All students enrolled in this course are expected to adhere to the UT Arlington Honor Code:

I pledge, on my honor, to uphold UT Arlington’s tradition of academic integrity, a tradition that values hard work and honest effort in the pursuit of academic excellence.

I promise that I will submit only work that I personally create or contribute to group collaborations, and I will appropriately reference any work from other sources. I will follow the highest standards of integrity and uphold the spirit of the Honor Code.

Instructors may employ the Honor Code as they see fit in their courses, including (but not limited to) having students acknowledge the honor code as part of an examination or requiring students to incorporate the honor code into any work submitted. Per UT System Regents’ Rule 50101, §2.2, suspected violations of university’s standards for academic integrity (including the Honor Code) will be referred to the Office of Student Conduct. Violators will be disciplined in accordance with University policy, which may result in the student’s suspension or expulsion from the University.

Drop Policy: Students may drop or swap (adding and dropping a class concurrently) classes through self-service in MyMav from the beginning of the registration period through the late registration period. After the late registration period, students must see their academic advisor to drop a class or withdraw. Undeclared students must see an advisor in the University Advising Center. Drops can continue through a point two-thirds of the way through the term or session. It is the student's responsibility to officially withdraw if they do not plan to attend after registering. Students will not be automatically dropped for non-attendance. Repayment of certain types of financial aid administered through the University may be required as the result of dropping classes or withdrawing. For more information, contact the Office of Financial Aid and Scholarships (http://wweb.uta.edu/ses/fao).

Americans with Disabilities Act: The University of Texas at Arlington is on record as being committed to both the spirit and letter of all federal equal opportunity legislation, including the Americans with Disabilities Act (ADA). All instructors at UT Arlington are required by law to provide "reasonable accommodations" to students with disabilities, so as not to discriminate on the basis of that disability. Any student requiring an accommodation for this course must provide the instructor with official documentation in the form of a letter certified by the staff in the Office for Students with Disabilities, University Hall 102. Only those students who have officially documented a need for an accommodation will have their request honored. Information regarding diagnostic criteria and policies for obtaining disability-based academic accommodations can be found at www.uta.edu/disability or by calling the Office for Students with Disabilities at (817) 272-3364.

Title IX: The University of Texas at Arlington is committed to upholding U.S. Federal Law “Title IX” such that no member of the UT Arlington community shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any education program or activity. For more information, visit www.uta.edu/titleIX.

Attendance: At The University of Texas at Arlington, taking attendance is not required. Rather, each faculty member is free to develop his or her own methods of evaluating students’ academic performance, which includes establishing course-specific policies on attendance. As the instructor of this section I reserve the right to take attendance every class period including mid-term and final exam dates, or at random, depending on time availability as well as the educational needs of the students enrolled in this section.
**Student Support Services:** UT Arlington provides a variety of resources and programs designed to help students develop academic skills, deal with personal situations, and better understand concepts and information related to their courses. Resources include tutoring, major-based learning centers, developmental education, advising and mentoring, personal counseling, and federally funded programs. For individualized referrals, students may visit the reception desk at University College (Ransom Hall), call the Maverick Resource Hotline at 817-272-6107, send a message to resources@uta.edu, or view the information at www.uta.edu/resources.

**Electronic Communication:** UT Arlington has adopted MavMail as its official means to communicate with students about important deadlines and events, as well as to transact university-related business regarding financial aid, tuition, grades, graduation, etc. All students are assigned a MavMail account and are responsible for checking the inbox regularly. There is no additional charge to students for using this account, which remains active even after graduation. Information about activating and using MavMail is available at http://www.uta.edu/oit/cs/email/mavmail.php.

**Student Feedback Survey:** At the end of each term, students enrolled in classes categorized as lecture, seminar, or laboratory shall be directed to complete a Student Feedback Survey (SFS). Instructions on how to access the SFS for this course will be sent directly to each student through MavMail approximately 10 days before the end of the term. Each student’s feedback enters the SFS database anonymously and is aggregated with that of other students enrolled in the course. UT Arlington’s effort to solicit, gather, tabulate, and publish student feedback is required by state law; students are strongly urged to participate. For more information, visit http://www.uta.edu/sfs.

**Final Review Week:** A period of five class days prior to the first day of final examinations in the long sessions shall be designated as Final Review Week. The purpose of this week is to allow students sufficient time to prepare for final examinations. During this week, there shall be no scheduled activities such as required field trips or performances; and no instructor shall assign any themes, research problems or exercises of similar scope that have a completion date during or following this week unless specified in the class syllabus. During Final Review Week, an instructor shall not give any examinations constituting 10% or more of the final grade, except makeup tests and laboratory examinations. In addition, no instructor shall give any portion of the final examination during Final Review Week. During this week, classes are held as scheduled. In addition, instructors are not required to limit content to topics that have been previously covered; they may introduce new concepts as appropriate.

**Emergency Exit Procedures:** Should we experience an emergency event that requires us to vacate the building, students should exit the room and move toward the nearest exit, which is located to the left, as you step out from the classroom into the hallway. When exiting the building during an emergency, one should never take an elevator but should use the stairwells. Faculty members and instructional staff will assist students in selecting the safest route for evacuation and will make arrangements to assist handicapped individuals.